

Annotated Bibliography on the Behavioral Characteristics of U.S. Investors

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Annotated Bibliography On The Behavioral Characteristics Of U S Investors:

Annotated Bibliography on the Behavioral Characteristics of U. S. Investors Federal Research Division Library of Congress, 2014-11-25 Investor behavior is a hotly debated topic within the academic community One relatively new area of study is the field of behavioral finance which highlights departures from rational behavior in investing Behavioral finance theory poses a challenge to many of the long established assumptions of the rational expectations school of thought which posits that people investors maximize utility returns acting rationally and in their self interest By focusing on the psychological and behavioral elements in the determination of stock prices behavioral finance also challenges the efficient market hypothesis EMH which holds that market prices reflect all known information EMH developed by University of Chicago economist and Nobel Laureate Eugene Fama implies that beating the market by identifying undervalued securities is impossible Whether EMH remains valid is beyond the scope of this annotated bibliography which is more concerned with investor behavior than with market efficiency In other words how the market behaves is not relevant here Instead the focus of this bibliography is on how investors behave and on how investor education may help them avoid common mistakes This bibliography covers the last 15 years This annotated bibliography draws primarily on the work of economists and finance professors who support their conclusions with extensive statistical models based on actual investor activity However the bibliography also provides references from the social sciences such as psychology and sociology because behavioral finance is a multidisciplinary field spanning a wide range of socioeconomic analyses For example risk aversion is related to the psychological concept of prospect theory Princeton psychologist and Nobel Laureate Daniel Kahneman and the late psychologist Amos Tversky who was last affiliated with Stanford University developed prospect theory to explain how people maximize value or utility in choosing between alternatives that involve risk Kahneman s article Aspects of Investor Psychology co authored with Charles Schwab executive Mark W Riepe is included in this bibliography The perspective of sociology is represented in the article Financial Manias and Panics A Socioeconomic Perspective by York University economist Brenda Spotton Visano who shows how sociologists theories shed light on the phenomena of manias and panics Similarly in the article On Financial Frauds and Their Causes Investor Overconfidence Steven Pressman an economist at Monmouth University maintains that empirical psychology which analyzes how people make choices when confronted with uncertainty offers a better explanation of how Ponzi schemes thrive than neoclassical economics which emphasizes the role of asymmetric information in risky situations Even neuroscience can illuminate investor behavior in Affect and Financial Decision Making How Neuroscience Can Inform Market Participants a physician Dr Richard L Peterson links risk avoidance and risk taking to separate brain systems The 52 abstracts in the bibliography are arranged according to the following categories with the number of abstracted articles in each category provided in parentheses *Monthly Catalog of United States Government Publications* ,1999-07 *SEC Docket* United States. Securities and Exchange Commission, 2011

Federal Information Processing Standards Publication , **The forester's almanac, 1977** Pacific Northwest Forest and Range Experiment Station (Portland, Or.),1977 **Behavioral Pattern and Pitfalls of U. S. Investors** The Library The Library of Congress,2015-03-26 This report is a companion piece to an annotated bibliography on the subject of the behavioral characteristics of U S investors The primary goal of the report is to identify common investment mistakes and to provide scholarly commentary from university professors and professionals in the fields of economics business finance psychology and sociology The secondary goal is to provide insights into how investors make the initial decision to invest and why some are reluctant to invest at all Many of the scholars cited here have made seminal contributions to the analysis of the human element of investing Students of their work can learn much about the patterns and pitfalls associated with investor behavior Although the citation for each research study includes a URL directly accessing the article described most of the URLs link to fee based subscriber databases In general the cited articles are available via a number of these vendor databases such as JSTOR EBSCO ProQuest NBER or Wiley Interscience The citation for each abstract also provides a URL linking to a Web site that offers free access to the article such as a faculty Web site or other online source if such a link is available However the Federal Research Division is unable to guarantee the stability of these additional links **Foreign Private Manufacturing Investment and Multinational Corporations** Sanjaya Lall,1975 Annotated bibliography on multinational enterprises and private investment foreign investment in manufacturing **Behavioral Patterns and Pitfalls of U. S. Investors** Federal Research Division Library of Congress,2014-11-01 According to statistical evidence presented in academic studies patterns of investor behavior are often counterproductive Drawing on a comprehensive review of academic journal articles reporting research in the relatively new field of behavioral finance this paper examines patterns of investor behavior as well as reasons that individuals are reluctant to invest in the first place The Annotated Bibliography on the Behavioral Characteristics of U S Investors also prepared by the Federal Research Division summarizes the research cited in this paper **Selected Aspects of Consumer Behavior** ,1977 **Research in Education** ,1974

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